

# A Comprehensive Solution for the Analysis, Validation and Optimization of SDN Data-Plane Configurations

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**Abstract**—Software Defined Networking (SDN), as an emerging paradigm, offers a centralized control platform by disassociating the forwarding process of network packets (data plane) from the routing process (control plane). However, the distributed state of the Openflow rules across various flow tables and the involvement of multiple independent rules writers may lead to problems of inconsistencies and conflicts within configurations at the infrastructure level. To tackle these issues, we propose, in this paper, an offline approach to fix violations at data plane side and a fine-grained control of SDN switches flow tables. Our solution considers Flow entries Decision Diagram (FeDD) as data structure and relies on formal techniques for analyzing the policy defects and resolving misconfigurations. It allows ensuring that the operator's policies are correctly applied in an optimal way. The implemented prototype, on top of OpendayLight, of our solution and experimentations, based on a real network configurations topology, demonstrate the scalability and applicability of our approach.

**Index Terms**—Software Defined Networking, Security Policy, Invariants Detection, Flow entries Decision Diagram, SDN Flow Tables Analysis.

## I. INTRODUCTION

Most operators check configurations device by device with an ad-hoc way in order to debug traditional network faults. However, existing network tools are unable to automatically detect, locate and repair the root causes. To solve these challenges, Software Defined Networking (SDN) proposes the decoupling of data and control planes in network equipments. This enables independent development of their equipments and a centralized control platform where operators can statically verify network policies. However, many errors caused by switch software bugs and external modification [6, 15] bring forth new security challenges. We focus our study on recent approaches to verify the correctness of network configuration at data plane side (i.e proposals allowing to detect and correct misconfigurations at the Openflow switch level). Most of existent (related) works generate probe packets to check the existence of rules at switches without verifying additional network properties ( e.g., access control). These security properties are dependent on paths under frequent network updates or reconfigurations. Other

works [12, 16, 18], are only able to simply raise alarms to indicate some violations to users, but cannot provide an automatic violation resolution. To overcome the limitations of existing approaches, we proposed to use in [5] a graph-based model, called Flow entries Decision Diagrams (FeDD), to schematize the relations between intra and inter switch filtering rules. This model allows detecting some network properties violations like blackholes and loops forwarding. However, this prior work is insufficient to meet the requirements of today's operators: a tool that ensures the operator's configurations will correctly reflect on packet forwarding paths. More, the defined model deals only with defects detection and does not focus on defects analysis and resolution. Given these limitations, we think a missing part in our previous work that can enhance the security at SDN data plane configurations. We focus, in the current paper, on a complementary solution, that enhances the previous model, allowing: (i) the identification of additional invariants like partial and entire access violations with regards to the firewall security policy; and (ii) the correction of all the detected defects and faulty rules while ensuring the switch configurations accuracy and correctness in an optimal way. Therefore, the major contributions of this paper are summarized as follows:

- 1) We propose a method to investigate access violation kinds from FeDD analysis: entire and partial violations by referring to the firewall application to bring out concrete switches misconfigurations.
- 2) We propose fine-grained resolution mechanisms to correct each discovered security invariant in the first step. This process should be accurate, correct and effective by applying different controls (such as modifying some fields of faulty rules, removing some rules, etc.) while respecting the compliance of switch configurations regarding the firewall security policy and without increasing the configurations complexity.
- 3) To ensure a high level of surety, we formally specified (via a set of inference systems) and proved the correctness and completeness of our proposal.
- 4) We conducted several experimental results and eval-

uations that highlight the efficiency, effectiveness and scalability of our approach.

This paper is organized as follows: Section 2 presents a summary of related works. Section 3 overviews background technologies and security challenges. In Section 4, we detail our approach. In Section 5, we address the implementation and evaluation of our solution. Finally, we present our conclusions and discuss our plans for future work.

## II. RELATED WORKS

Previous efforts on automatic network debugging addressed the correctness of network configurations [6]. However, despite the fact that the SDN controller program and the configurations are correct, the data plane may show misconfigurations due to switch software bugs [22] or malicious attacks [4]. Existing verification tools can only ensure network correctness at the controller side, but cannot guarantee the correctness of rules at flow tables. The data plane verification tools are classified into three categories as follows [3, 10]:

*Network policies verification* : Ant eater [14] is a tool that analyzes the data plane state of network devices by encoding switch configurations as boolean satisfiability problems (SAT) instances. Veriflow [13] can perform reachability checking in real time. FlowChecker [20] identifies intra-switch misconfigurations within a single flow table. NetPlumber [12] checks incrementally the compliance of state changes and use Header Space Analysis (HSA) to capture all possible data paths via the plumbing graph. Hu et al. [11] propose the FlowGuard tool for building SDN firewalls, but, it cannot monitor dynamic packet modifications. Authors in [9] further extended the work of FlowChecker for adjusting the structure of multiple flow tables by treating the table as the location of the state instead of the device to check the flow table pipeline misconfiguration. However, the result only returns a single counterexample for the violation, which is hard to be used to analyze the reason for failures. Li et al. introduced the field transition rules into VeriFlow for defending covert channel attacks [8]. However, the header change rules still cannot take action in the forwarding graphs for verifying the reachability. A recent tool, called FlowMon [7], addresses challenges created by the inter-reaction of flow path and firewall authorization space. However, FlowMon cannot detect indirect violations caused by rule dependencies.

*Controller software verification* : Canini et al. [23] present the NICE tool which checks the correctness of SDN controller but it cannot guarantee the absence of errors. More, no correction approach or update inter-switches is proposed after bugs detection. Besides, only the basic invariants are detected. OFRewind [24] enables recording and replaying of troubleshooting for the network. However, it does not automate the testing of Openflow controller programs. Authors, in [1], propose a method for automatic verification of packet reachability by automatically generating logical formulas for reachability verification. However, it cannot handle other more complex policies such as access violations and loop forwarding. Authors, in [2], adopt the concept of atomic predicates and the

parallel process computational framework Spark to verify data plane properties. However, they don't propose the resolution mechanism of these defects.

*Packet trajectory tracers and data plane testing tools* : ATPG [17] generates automatically test packets by injecting network probes. However, it cannot localize the faulty rule. More, this tool does not dictate how these probes should be constructed. ATPG is limited to detect only liveness properties. The highlight of VeriDP [21] is that in order to detect the flow table inconsistencies, it uses the Bloom-filter-based tagging method. However, this approach doesn't incorporate all Set-Actions in the flow tables. These approaches do not include all types of actions and can detect only some basic invariants. In our prior work [5], we propose a new approach for a deep and automated data plane analysis with consideration of flow rules dependencies. However, it is limited to discover some reachability issues such as forwarding loops and blackholes. In addition, we do not propose any method to correct the faulty rules after localizing misconfigurations.

At the end, the major limitations of these works consist in simply preventing users from possible anomalies, but it cannot provide a fine-grained violation resolution. Also, they ignore rule dependencies and some invariants within security constraints, such as firewall policies, for compliance checking. Unlike recent work that provides a manual invariant resolution process that can trigger possible anomalies, our approach allows the administrator to automatically correct detected defects while ensuring that SDN data plane is continuously compliant with the security policy deployed in the firewall application.

## III. BACKGROUND

In what follows, we formally define some key notions to explain our approach.

### A. Security Policy

A security policy  $SP$  represents a collection of all packets either allowed or denied by the firewall rules. We consider two sets,  $SP_d$  and  $SP_a$  where  $SP_a$  consists of packets accepted to pass through the set of directives  $SP$  and  $SP_d$  is the subset of denied packets. In this paper, we suppose that  $SP$  is consistent, i.e.  $SP_d \cap SP_a = \emptyset$ .

### B. Flow Policy

Openflow-enabled devices [19] support the abstraction of a flow table, which is manipulated by the Openflow controller. When a packet arrives at the OpenFlow switch from an input port, it is matched against the flow table to determine if there is a matching flow entry. Formally, a flow entry is  $Ft = \{r_i; 1 \leq i \leq n\} = \{f_i \Rightarrow action_i; 1 \leq i \leq n\}$  where  $f_i = \langle @sourceIP, @destinationIP, portDest \rangle$  and  $action_i = \{Set\_Field \wedge Forward, Forward, Drop, Empty, Controller\}$ . The action *Controller* forwards packets to the controller which in turn will filter according to the security policy.

### C. FeDD Description

In this paper, we referred to the data structure used for multiple switches, called Flow entries Decision Diagrams (FeDDs) and built from a set of rules in the switch configurations. A FeDD is an acyclic and directed graph that has exactly one node, called the root. A directed path from the root to a terminal node is called a decision path  $dp_i$ . The algorithm used to construct a FeDD is detailed in [5]. A decision path  $dp_i$  is depicted as follows:

$dp_i = (dp_i.S) \wedge (dp_i.D) \wedge (dp_i.P) \wedge (dp_i.Sid.r) \wedge (dp_i.r.id) \wedge (dp_i.r.actions)$  where:

- $dp_i.S, dp_i.D, dp_i.P$  are the domain of 3-tuple fields (Source IP address, Destination IP address and Port destination) matched by the direct path  $dp_i$ .
- $dp_i.Sid.r$  is the identifier of the current switch that owns the rule matching the domain of packets in the  $dp_i$ .
- $dp_i.r.id$  identifies the rule overlapped with the packets domains represented by this  $dp_i$ .
- $dp_i.r.actions$  is the action of each direct path that depends on the actions of each flow entry handled by this direct path from every switch in this path. It can be *Exit, Drop, fwd\_nextSw, Empty or Controller*.

All FeDD based models convert the switch flow tables into a flow entries decision diagram. Therefore, FeDD of our network is :  $FeDD = \cup_i FeDD_i = \cup_{i:k:1..n} dp_k$

### D. Security Challenges

Openflow switch misconfigurations have a direct impact on the security and the efficiency of the network. To highlight this situation, we introduce the following invariants:

**Loop freedom:** it means that there should not exist any packet injected into the network, that it would cause a forwarding loop. The loop invariant can be identified by checking the flow history to determine if the flow has passed through the current switch before.

**Access violation :** Openflow allows various Set-actions, which can rewrite the values of header fields in packets. This challenge can influence the path parsed by some packets. More, flow rules may overlap each other in the same switch or between switches which cause indirect network breaches. Depending on the complexity of an overlap found in violated space, we distinguish between two types of access violations:

- Entire Violation: if the fields domain of the decision path covers the whole space (denied or accepted) of the security policy.
- Partial Violation: if the fields domain of the decision path partially covers the space of the security policy.

**Blackholes and Controller:** in order to pinpoint the "Black-hole" and "SendTo controller" invariants, the SDN switch configuration considers the default-action (*Drop, Empty or SendTo controller*) as an action used for packets that don't match any existing flows.

## IV. OUR APPROACH

### A. Principle

The principle of our approach, depicted in Fig.1, is based on three main phases: **Phase 1:** Security Policy Space Analysis–

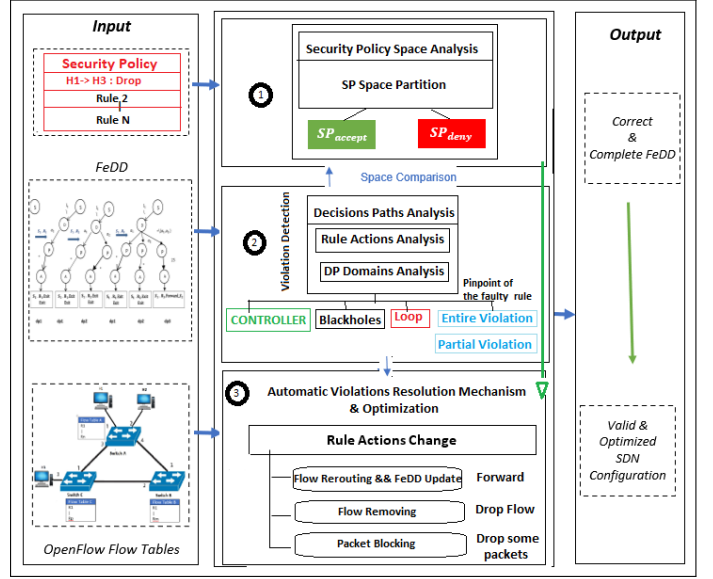


Fig. 1. Approach Architecture.

given the security policy deployed in the firewall application as input, we extract accepted and denied packets respectively as two spaces  $SP_{accept}$  and  $SP_{deny}$ .

**Phase 2:** Security Analysis & Violation Detection– in this step, we analyze each decision path in our FeDD in order to detect possible defects in the configuration. We identify basic anomalies as well as network security policy access violations. This detection is based on the verification of a set of invariants according to the Security Policy (SP). The major advantage of this detection is to specify the rule that caused the error, unlike other related works which reject the flow or identify only the set of faulty switches. This step will help us directly and quickly correcting the wrong decision without analyzing all the paths in our FeDD.

**Phase 3:** Automatic Violations Resolution & Refinement– in this step, we define a set of resolution methods for each detected invariant with respect to the following technical requirements: accuracy, flexibility and scalability.

### B. Case Study

To make our discussion concrete, we consider an example of network topology, shown in Fig.2, with three switches, three hosts, and a simple firewall application used to deploy the security policy. We assume in our study that the SDN controller program is correct and the firewall rules are consistent. One such challenge is introduced by the feature of packet modification bypassing a firewall. In the following scenario, we demonstrate a detection of indirect access violation due to modification of field values: a packet from the host 191.55.3.4 arrives at switch S1, it matches the first rule that sends it to

switch S3 after replacing its source IP address with the new 191.55.3.9. Then, the switch S3 drops this packet after applying its first matching rule. However, this flow must be forwarded to the destination host 191.55.7.2 according to the second firewall rule.

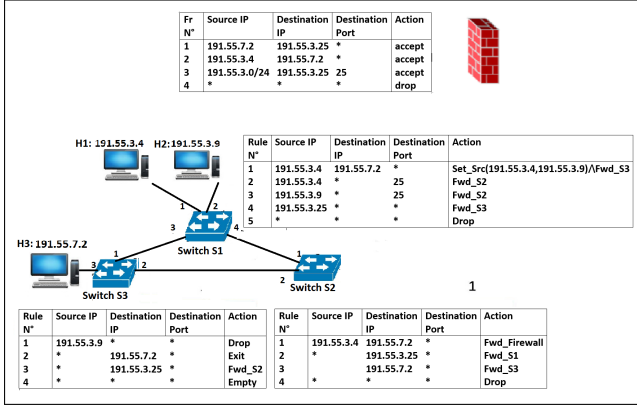


Fig. 2. Network Topology Case of Study.

### C. Security Analysis & Defects Detection

In this section, we introduce our method, as described in Algorithm 1, for discovering various invariants from our FeDD. To achieve our goal, we start with the following definition:

#### Algorithm 1: Discovering Access Violations

- 1 **Input:** FeDD, a set of decision paths  $dp$  ;
- 2  $SP_a$ , a set of accepted packet from SP;
- 3  $SP_d$ , a set of denied packet from SP;
- 4 **Output:** EnV, a set of  $dp$  detecting an entire violation
- 5 PaV, a set of  $dp$  detecting a partial violation
  - 1: **for each**  $dp \in FeDD$
  - 2: **if**  $(r.actions \neq fr.actions) \wedge (dom(dp) \subseteq SP_{r.actions})$
  - then**
  - 3:  $dp.append("EnV")$  ;
  - 4: **else**
  - 5: **if**  $(dom(dp) \cap SP_{r.actions} \neq \emptyset)$  **then**
  - 6:  $dp.append("PaV")$  ;
  - 7: **return** EnV, PaV;

**Definition 1.** FeDD is called misconfiguration-free if and only if  $\exists dp_i \in FeDD$  that verifies one of the following conditions:

- Loop (LP): a direct path  $dp_i \in FeDD$  invokes forwarding of loops if the previousPaths stores twice the same switch traversed by this  $dp_i$ .
- Blackhole (BLK) : a direct path  $dp_i \in FeDD$  depicted a blackhole if the packet matched the default action Empty as configured in the switch.
- Entire Violation (EnV) : a direct path  $dp_i \in FeDD$  is **totally** violated if **all** the packets tracked by this path apply a different action as applied in the security policy SP. Hence  $EnV$  is identified by applying Algorithm 1 (L1-L3). Formally:

$$(dom(dp_i) \subseteq SP_{!dp_i.r_{vi}.action})$$

- Partial Violation (PaV) : a direct path  $dp_i \in FeDD$  is **partially** violated if **some** packets tracked by this path apply a different action as applied in the security policy SP. Hence  $PaV$  is identified by applying Algorithm 1(L4-L6). Formally:  $(dom(dp_i) \not\subseteq SP_{!dp_i.r_{vi}.action}) \wedge (dom(dp_i) \cap SP_{!dp_i.r_{vi}.action} \neq \emptyset)$ . In fact, it compares the domain of the direct path with the set of packets of the security policy having two different actions, if it is totally included by it, then we have the entire Violation  $EnV$  and if it is partially included by it, then we have a partial Violation  $PaV$ .

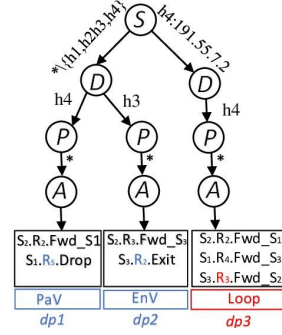


Fig. 3. Discovering Invariants from FeDD2.

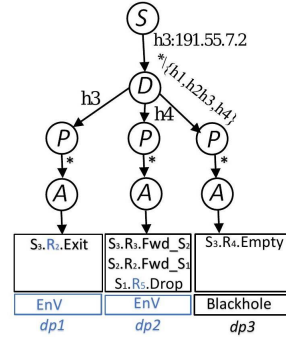


Fig. 4. Discovering Invariants from FeDD3.

For example, in our case study and according to Fig.2, we have three sets of possible input addresses (h1:191.55.3.4, h2:191.55.3.9 and h3:191.55.7.2), and by applying our Algorithm 1, we obtain all invariants discovered from FeDD depicted in Fig.3 and Fig.4.

### D. Invariants Correction & Refinement

Our objective is to determine which correction method should be used for each detected invariant.

1) *Resolving loop forwarding:* our approach to resolve loop forwarding from our FeDD is described in Algorithm 2. At first, we extract all possible paths from our topology to track a packet from source to target destinations. For example,  $(source, target) = (191.55.3.4, 191.55.7.2) = (S1, S2, S3) \vee (S1, S3)$ . Then, for each  $dp_i \in LP$ , we compare

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**Algorithm 2: Discovering Loop Defects**


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1 **Input:** LP, a set of decision paths dp where a loop is detected;  
2 **Output:** dp well corrected

- 1: **for each**  $dp \in LP$
- 2: **if**  $dom(dp) \in SP_a$  **then**
- 3: **if**  $dom(dp.D).attached(dp.Sid)$  **then**
- 4:  $r.setAction("Exit")$  ;
- 5:  $dp.append("Exit")$  ;
- 6: **else**
- 7:  $nextPort \leftarrow dom(dp).finDestPort(Sid)$ ;
- 8:  $r.setAction("port" + nextPort)$ ;
- 9:  $flowAux.UpdateFlow(dom(dp) \cap dom(r))$ ;  
 $nextSw \leftarrow dom(dp).extractSid(r.actions)$ ;
- 10: **if**  $(dom(dp).islastSwitch(dp.nextSw))$  **then**
- 11:  $r.setAction("Exit")$  ;
- 12: **else**
- 13:  $explore(flowAux, nextSw, previousPaths, dp())$ ;
- 14: **else**
- 15:  $r.setAction("Drop")$  ;
- 16:  $dp.append("Drop")$  ;

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the domain of this  $dp_i$  with allowed or denied spaces from the space SP set. Thus, we have two cases:

- Case 1:  $dom(dp_i) \in SP_a$ ; in this case, we have two situations: (i) the destination of this  $dp_i$  is not linked to the switch caused a loop : in this situation, we forward the packet to the next switch. In fact, given a network topology, we identify the paths followed by the packet from the source address (dp.S) to the target destination (dp.D). Then, we retrieve the following switch identifiers from these paths. When, we reach the last switch (terminal), we assign the action "Exit" to the corresponding rule (L10-L11). (ii) Otherwise, we just modify the rule action to "Exit" as described in 2(L3-L5);
- Case 2:  $dom(dp_i) \in SP_d$ , we just change the action of the rule  $r_i$  which caused a loop to "Drop" (L15-L16).

2) *Inference System for Resolving Access Violations:* the inference system rules, shown in Fig.5, apply to triplet (EnV, PaV, FeDD) where EnV and PaV are the sets of entire and partial violations respectively and FeDD is the set of all flow entries decision diagrams of all paths in our network. The inference rule  $Correct_{EnV}$  is used to correct EnV. It deals with each  $dp_i$  from the set EnV and changes the action of the rule that caused this violation in  $dp_i$ . The inference rule  $Correct_{PaV}$  is used to divide  $dp_i$ , into two sets:

- 1)  $dp_i^{inter}$  is the set that has the correct action as defined in the security policy;
- 2)  $dp_i^{inter'}$  represents the subset of  $dp_i$  that should be fixed. This inference rule is used to correct the action of this path  $dp_i$ .

The function  $UpdateFeDD(Sw, r_{vi}.id, dp_i.r_{vi}.action)$  allows to update the FeDD by replacing  $dp_i$  by the

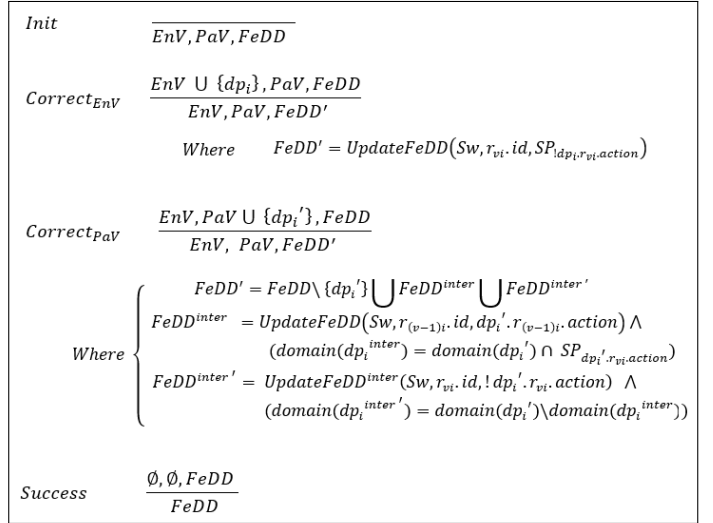


Fig. 5. Inference system for resolving entire and partial access violations.

new direct path. As an example, we deal with the case of PaV discussed in Fig.3. We consider  $dp1^{inter} = dp1 \cap SP_a =$  the branch represented by these values:  $[@src_ip, @dest_ip, port_dest] = [191.55.3.0, 191.55.3.25, 25]$ . Therefore,  $dp1 = (dp1 \setminus dp1^{inter}) \cup (dp1 \cap dp1^{inter})$ . Then, the inference rule  $correct_{PaV}$  allow to divide this direct path into two sub paths where the first  $dp1 \cap dp1^{inter}$  represent paths which are conform to SP and the second one ( $dp1 \setminus dp1^{inter}$ ) is the totally violated path. The *Success* rule is applied when the two sets EnV and PaV were exhausted.

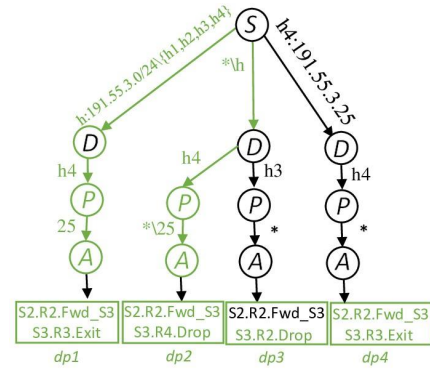


Fig. 6. Modified FeDD of Fig.3

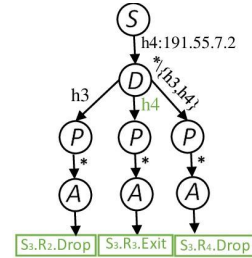


Fig. 7. Modified FeDD of Fig.4



3) *Blackholes and Controller Resolution*: the main idea to resolve blackhole defects is to compare the domain of each  $dp_i \in BLK$  with the space of set packets in SP. Hence, we have two cases: (1)  $dom(dp_i) \subseteq SP_d$ , we change the action of matched rule in this  $dp_i$  to **"Drop"**; (2)  $dom(dp_i) \subseteq SP_a$  we replace the *Empty* action by **Exit**. For example, we resolve the blackhole identified at  $dp_3$ , highlighted in Fig.4, by assigning the action "Drop" to rule R4 of switch S3 because  $dom(dp_3) \subseteq SP_d$  (see fr4 depicted in Fig.2). As an example of controller invariant resolution: SMTP traffic will be accepted from source 191.55.3.4 to destination 191.55.7.2 according to second rule deployed in the firewall configuration (see fr2 in Fig.2). After applying our correction methods, we obtain the new updated FeDD shown in Fig.6 and Fig.7.

### E. SDN Switch Configuration Validation

We observe that after resolving an invariant "loop" ( $dp_3$  of Fig.3) by changing the two rules actions of S2 and S3 (S2.R2.Forward\_S3 and S3.R3.Exit), a partial violation PaV (identified at  $dp_1$  of Fig.3) and an entire violation EnV (identified at  $dp_2$  of Fig.4) are also well corrected as shown in Fig.6 and Fig.7. As results, we obtain the new switches configurations validated and well consistent after applying our anomalies analysis and resolution techniques as depicted in TABLE I and TABLE II.

TABLE I  
NEW SWITCH S2 CONFIGURATION

Rule	Source	Destination	Port	action
1	191.55.3.4	191.55.7.2	*	Forward_Firewall
2	*	191.55.3.25	*	Forward_S3
3	*	191.55.7.2	*	Forward_S3
4	*	*	*	Drop

TABLE II  
NEW SWITCH S3 CONFIGURATION

Rule	Source	Destination	Port	action
1	191.55.3.9	*	*	Exit
2	*	191.55.7.2	*	Drop
3	*	191.55.3.25	*	Exit
4	*	*	*	Drop

## V. EXPERIMENTAL RESULTS AND EVALUATION

The experiments were run on desktop with an Intel Core i7 CPU 3.6GHz and 32GB Memory. Then, to implement our methods, we use Java JDK 1.8 with Eclipse. In order to easily integrate our solution, we used all-in-one pre-built virtual machine by SDN Hub [25] within Ubuntu-14.04.4. We emulate networks with Mininet and use the controller OpendayLight with support of Openflow v1.3. It is supposed that we have IP v4 addresses with netmasks and port numbers of 16 bits unsigned integer with range support. To evaluate the practical value of our methods, we have implemented them based on the FeDD data structure using the rules set provided by two topologies:

- 1) Fat tree shown in Fig.2. We used, at first, the following command to build the configuration of our topology from a file "MyTopo":

```
ubuntu@sdnhubvm:~/mininet/configuration$ sudo mn --custom MyTopo.py --topo MyTopo
```

Then, We used the ping tool in order to populate the switches configurations with shortest-path forwarding rules.

- 2) The Stanford backbone network [26] which consists of 16 Cisco routers. For Stanford, the configuration files are translated to correspondent OpenFlow rules, and installed at Open vSwitches. Then, we extracted data from switches using the command line tool :

```
rodvand@atpg$ sudo ovs-ofctl dump
```

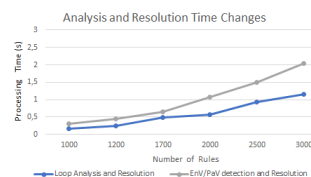


Fig. 8. Resolution Time Changes.

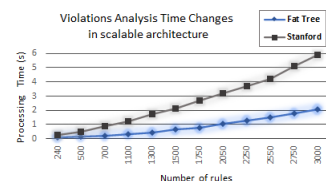


Fig. 9. Scalability Analysis.

Hence, we consider time treatment factor that we review by varying the number of rules for each dataset. The maximum number of rules, deployed in a single switch, is 3000. In overall terms, we consider the average processing time, in seconds, of the main procedures of misconfigurations detection and correction. The violation detection and resolution overhead were increased linearly as the switches size increases as shown in Fig.7. The experimental results, depicted in the Fig.8, show a polynomial increase with the growth of flow rules in scalable architecture such as Stanford topology. The traffic latency in Stanford is due to rules complicated dependencies. We identify accurately the faulty rule from the violated decision paths. More, we provide a fine grained, automatic correction process for each defect detected. Thus, it reduces complexity constraints. Therefore, obtained processing time shows that our tool performed efficiently within the case studies.

## VI. CONCLUSION AND FUTURE WORK

In this paper, our proposal is intended for a comprehensive discovering and fixing of data plane security invariants based on formal techniques and by using FeDD as data structure. The main advantages of our proposal are the following: First, unlike other works, our approach ensures continuous SDN data plane compliance with the security policy without causing further errors as a result of our accurate and optimal resolution mechanism. Second, we formally proved the correctness and completeness of our formal reasoning for validating SDN data-plane configurations. Third, our experimentations, that have been conducted on different case studies, highlighted promising results. As a future work, we plan to consider techniques for verifying SDN security policies and resolving violations in a real time context.

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